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I hereby certify that the documents indicated below are being deposited with the United States Postal Service under 37 CFR 1.10 on the date indicated above and are addressed to Box Patent Application, Assistant Commissioner for Patents, Washington, D C 20231, and mailed on the above Date of Mailing with the above "Express Mail" mailing label number

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BOX PATENT APPLICATION
ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D. C. 20231

DOCKET NUMBER: 11321-P034US

Sir:

Transmitted herewith for filing is the Patent Application of:

Inventors: Richard E. Smalley, Daniel T. Colbert, Ken A. Smith, and Michael O'Connell

For: POLYMER-WRAPPED SINGLE WALL CARBON NANOTUBES

Enclosed are:

- ☒ Patent Specification
- ☒ 7 sheets of drawing(s)
- ☐ An assignment of the invention to _____ (includes Recordation Form Cover Sheet).
- ☐ Certified Copy of a _____ Application
- ☐ An associate power of attorney
- ☐ Information Disclosure Statement, PTO 1449 and copies of references.
- ☒ Applicants claim small entity status (37 C.F.R. 1.27).

The filing fee has been calculated as shown below:

| For | Number Filed | Number Extra | Rate Small Entity | Fee Small Entity |
|--|-----------------|-----------------|----------------------|---------------------|
| Basic Fee | | | | \$ 355.00 |
| Total Claims | 46 - 20 | 26 | x 9 = | \$ 234 00 |
| Indep. Claims | 3 - 3 | 0 | x 40 = | \$ - 0 - |
| <input type="checkbox"/> MULTIPLE DEPENDENT CLAIM(S) PRESENTED | | | + 135 = | \$ - 0 - |
| | | | TOTAL | \$ 589 00 |

- ☒ A check in the amount of \$589.00 (filing fee) is enclosed.
- ☒ The Assistant Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to Deposit Account No. 23-2426 (11321-P034US). A duplicate copy of this sheet is enclosed.
 - ☒ Any additional filing fees required under 37 CFR §1.16
 - ☒ Any patent application processing fees under 37 CFR §1.17.

Respectfully submitted,

By: Ross Spencer Garsson

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